

ORIGINAL

. DEFUNE THE ANIZONA CORPORATION COMMISSION VED 1 2007 SEP 26 A 8: 22 2 WILLIAM A. MUNDELL **CHAIRMAN** 3 AZ CORP COMMISSION DOCUMENT CONTROL JIM IRVIN COMMISSIONER 4 MARC SPITZER COMMISSIONER 5 DOCKET NO. S-03472A-02-0000 IN THE MATTER OF: 6 SCOTTSDALE FINANCIAL FUNDING GROUP, 7 LLC 4000 North Scottsdale Road Scottsdale, AZ 85251 9 MARTIN & GRIFFIN, LLC 4000 North Scottsdale Road 10 Scottsdale, AZ 85251 GREGORY B. GILL aka GREGORY P. GILL 11 4015 N. 78th Street, #141 12 Scottsdale, AZ 85251 Arizona Corporation Commission 13 HAYDEN KEITH HOLLAND DOCKETED 5618 E. Montecito 14 Phoenix, AZ 85018-3223 SEP 26 2002 15 TAD L. ULRICH & ASSOCIATES, LLC 13386 North 88th Place DOCKETED BY CAR 16 Scottsdale, AZ 85260 17 TAD LYN ULRICH 13386 North 88th Place 18 Scottsdale, AZ 85260 19 SENIOR ADVISORY SERVICES, LLC 1401 Kimdale Street 20 Lehigh Acres, Florida 33936 21 WALLACE BUTTERWORTH 1411 East Orangewood Avenue, #239 22 Phoenix, AZ 85020 SIXTH PROCEDURAL ORDER 23 Respondents. 24 BY THE COMMISSION: 25 On March 29, 2002, the Securities Division ("Division") of the Arizona Corporation 26

On March 29, 2002, the Securities Division ("Division") of the Arizona Corporation Commission ("Commission") filed a Notice of Opportunity for Hearing Regarding Proposed Order for Relief ("Notice") against Scottsdale Financial Funding, LLC ("SFF"), Martin & Griffin, LLC

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("M&G"), Gregory B. Gill aka Gregory P. Gill, Hayden Keith Holland, Tad L. Ulrich & Associates, LLC ("TUA"), Tad Lyn Ulrich, Senior Advisory Services, LLC ("SAS") and Wallace Butterworth, (collectively the "Respondents") in which the Division alleged multiple violations of the Arizona Securities Act ("Act") in connection with the offer and sale of securities in the form of membership interests in limited liability companies ("LLCs") and investment contracts.

Respondents were duly served with copies of the Notice.

On April 5, 2002, Respondents SFF, Hayden Holland, SAS, Wallace Butterworth, TUA and Tad Lyn Ulrich filed requests for hearing.

On April 12, 2002, Respondents M&G and Gregory Gill filed requests for hearing.

On April 17, 2002, by Procedural Order, the Commission scheduled a pre-hearing conference on May 9, 2002 on the allegations raised by the Notice.

On April 22, 2002, the Division and Respondents requested a continuance due to scheduling conflicts.

April 23, 2002, by Procedural Order, a pre-hearing conference was continued to May 17, 2002.

May 17, 2002, at the pre-hearing conference, the Respondents and the Division appeared through counsel. The scheduling of the evidentiary portion of the proceeding and the possible length of the hearing were discussed. The Respondents and the Division agreed to the filing of a Consent Temporary Cease and Desist Order ("C&D") with respect to the offer and sale of securities as alleged in the Notice pending the final disposition of this proceeding by the Commission. The parties further agreed that an additional pre-hearing conference would be required and stipulated to an additional pre-hearing being scheduled on July 25, 2002.

On May 20, 2002, by Procedural Order, the Commission Ordered that an additional prehearing conference be scheduled.

On July 25, 2002, at the pre-hearing conference, further procedural and discovery matters were addressed as well as scheduling concerns. Problems in concluding the Temporary C&D were also discussed. The Division requested a hearing be scheduled and the parties agreed to a status conference being scheduled on September 26, 2002. The parties also agreed that the hearing on the

allegations contained in the Notice begin on November 4, 2002.

On July 29, 2002, by Procedural Order, a status conference was scheduled for September 26, 2002. The hearing on the above-captioned proceeding was ordered to commence on November 4, 2002, and witness and exhibit lists were ordered to be exchanged 14 days prior to the start of hearing.

On September 13, 2002, the Division filed a Temporary Order ("T.O.") against the Respondents to supplement the Notice herein.

Additionally, on September 13, 2002, Bryan Cave, LLP, counsel for M&G and Mr. Gill, filed a document captioned "Notice of Withdrawal", indicating simply that it was withdrawing as their counsel and directing that "pleadings and other papers" be sent to Mr. Gill at what appears to be his address. No address for M&G was provided and no phone number was provided for either Respondent.

The Notice of Withdrawal filed by Bryan Cave, LLP fails to meet the requirements for written application to withdraw pursuant to Commission Rule A.A.C. R14-3-104(E) and Rule 5.1(a) of the Arizona Rules of Civil Procedure ("Rules").

It is further noted that in order for Mr. Charles W. Arnold of Lexington, Kentucky, co-counsel for Mr. Holland, to represent Mr. Holland before the Commission, he must file an application *Pro Hac Vice* pursuant to Rule 33(D) of the Arizona Supreme Court.

On September 23, 2002, by Procedural Order, Bryan Cave, LLP was ordered to make written application to withdraw in a form consistent with the Commission's Rules and the Arizona Rules of Civil Procedure. Mr. Arnold was also ordered to file an application *Pro Hac Vice*.

On September 23, 2002, Bryan Cave, LLP made written application to withdraw consistent with the Commission's Rules and the Arizona Rules of Civil Procedure indicating the following: that Respondents M&G and Mr. Gill were unable to finance their representation; that forwarding addresses and phone numbers for these Respondents were provided with the application; and that Respondents M&G and Mr. Gill had been advised as to the status of the proceeding, scheduled hearings and the possibility of sanctions.

Accordingly, good cause has been shown to permit Bryan Cave, LLP to withdraw from their representation of Respondents M&G and Mr. Gregory B. Gill, and Respondents will not be unduly

prejudiced by this withdrawal at this time. 1 IT IS THEREFORE ORDERED that Bryan Cave, LLP shall be permitted to withdraw as 2 counsel for Martin and Griffin, LLC and Mr. Gregory B. Gill. 3 DATED this ______ day of September, 2002. 4 5 6 7 ADMINISTRATIVE LAW JUDGE 8 Copies of the foregoing mailed/delivered this 1 day of September, 2002 to: 9 10 Charles W. Arnold ARNOLD & COWAN 11 The Ridgely House 190 Market Street 12 Lexington, KY 40507 13 Bryan F. Murphy BURCH & CRACCHIOLO, P.A. 14 702 East Osborn Road Phoenix, AZ 85014 15 and William Foreman 7272 East Indian School Road, Ste. 203 16 Scottsdale, AZ 85251 17 Attorneys for Hayden Holland 18 Stephen M. Dichter Sally S. Duncan 19 Bryan Cave, LLP Two North Central Avenue, Ste. 2200 20 Phoenix, AZ 85004 21 Gregory B. Gill 4015 North 78th Street, #141 22 Scottsdale, AZ 85251 Nicolas J. Cornelius 14500 N. Northsight Blvd., Ste. 309 24 Scottsdale, AZ 85260 Attorney for Wallace Butterworth, Senior Advisory Services, LLC, Tad L. Ulrich, LLC, 25 Tad Lyn Ulrich and Wallace Butterworth 26 Mark D. Chester 27 14500 N. Northsight Blvd., Ste. 309 Scottsdale, AZ 85260 Attorney for Scottsdale Financial Funding Group, LLC

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